

# WORKMAN NYDEGGER & SEELEY

ATTORNEYS AT LAW

A PROFESSIONAL CORPORATION

1000 EAGLE GATE TOWER  
60 EAST SOUTH TEMPLE  
SALT LAKE CITY, UTAH 84111  
TELEPHONE (801) 533-9800  
FACSIMILE (801) 328-1707

RICK D. NYDEGGER  
DAVID O. SEELEY  
BRENT P. LORIMER  
THOMAS R. VUKSINICK  
LARRY R. LAYCOCK  
JONATHAN W. RICHARDS  
DAVID R. WRIGHT  
JOHN C. STRINGHAM  
JOHN M. GUYNN  
CHARLES L. ROBERTS  
GREGORY M. TAYLOR  
DANA L. TANGREN  
ERIC L. MASCHOFF  
CHARLES J. VEVERKA  
ROBYN L. PHILLIPS  
RICHARD C. GILMORE†  
DAVID B. DELLENBACH  
R. BURNS ISRAELSEN  
DAVID R. TODD  
L. DAVID GRIFFIN

JESÚS JUANÓS I TIMONEDA, Ph.D.  
ADRIAN J. LEE  
FRASER D. ROY  
CARL T. REED  
R. PARRISH FREEMAN, JR.  
PETER F. MALEN, JR.  
L. REX SEARS, Ph.D.  
ERIC M. KAMERATH  
ROBERT E. AYCOCK  
JENS C. JENKINS  
KEVIN W. STINGER  
WILLIAM J. ATHAY  
WILLIAM R. RICHTER  
TRENT H. BAKER  
RYAN D. BENSON  
SARA D. JONES  
TIGE KELLER

† ADMITTED ONLY IN CALIFORNIA

PATENTS  
TRADEMARKS  
COPYRIGHTS  
TRADE SECRETS  
UNFAIR COMPETITION  
LICENSING  
COMPLEX LITIGATION

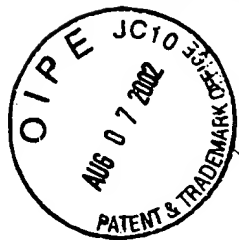
MAILING ADDRESS:  
P.O. BOX 45862  
SALT LAKE CITY, UT 84145

INTERNET  
HOME PAGE: <http://www.wnspatent.com>  
GENERAL E-MAIL: [info@wnspatent.com](mailto:info@wnspatent.com)

EXPRESS MAIL LABEL NO.: EL 675 130 765 US

PATENT APPLICATION

Docket No.: 13768.189



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

**RECEIVED**

AUG 14 2002

Technology Center 2100

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

X Form PTO-1449 list of one (1) reference submitted for consideration.

X Legible copies of the listed references or their relevant portions.

— All English translations of each nonenglish reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

— Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.

\_\_\_ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

\_\_\_ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

\_\_\_ Legible Copies of previously filed Information Disclosure Statement for application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

\_\_\_ Promptness Certification.

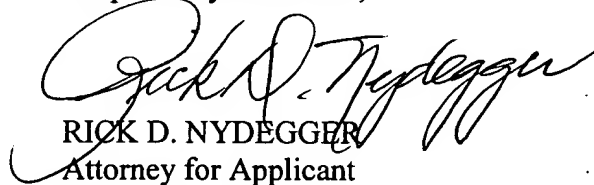
\_\_\_ Check No. \_\_\_\_\_ in the amount of \$240.00 constituting submission fee under 37 C.F.R. 1.17(p);

\_\_\_ Petition for Consideration and Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_.

X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 7 day of AUGUST, 2002.

Respectfully submitted,

  
RICK D. NYDEGGER  
Attorney for Applicant

Registration No. 28,651



**022913**

PATENT TRADEMARK OFFICE

RDN:dmh

W:\13768\189\DMS0000000088V001.doc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Donald J. Kadyk, et al.

) Art Unit  
) 2176

1

i

RECEIVED

AUG 14 2002

## Technology Center 2100

Sir:

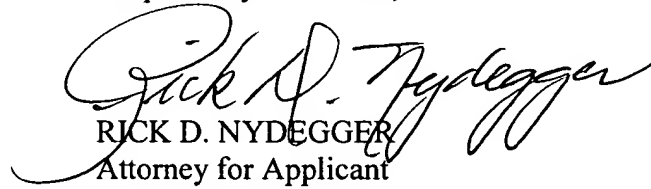
Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Dated this 7 day of AUGUST, 2002

Respectfully submitted,



RICK D. NYDEGGER  
Attorney for Applicant  
Registration No. 28,651



**022913**

PATENT TRADEMARK OFFICE

RDN:dmh

W:\13768\189\DMS0000000089V001.doc

EXPRESS MAIL LABEL NO.: EL 675 130 765 US

Form PTO-1449

Sheet 1 of 2

Applicant: Donald J. Kadyk, et al.

Serial No.: 09/838,408

Att'y Docket No.: 13768.189

Filing Date: April 19, 2001

Group: 2176

Confirmation No.: 1457

For: METHODS AND SYSTEMS FOR AUTHENTICATION THROUGH  
MULTIPLE PROXY SERVERS THAT REQUIRE DIFFERENT  
AUTHENTICATION DATA

**RECEIVED**

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT AUG 14 2002

Other Documents

Technology Center 2100

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial\*

\_\_\_\_\_ A1. Norifusa, M., "Internet Security: Difficulties and Solutions," International Journal of Medical Informatics, Elsevier Scientific Publishers, Shannon, IR, Vol. 49, No. 1, March 1998 (1998-03), pages 69-74.

### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EL 675 130 765 US

Form PTO-1449

Sheet 2 of 2

Applicant: Donald J. Kadyk, et al.

Serial No.: 09/838,408

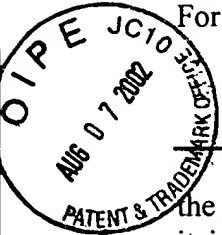
Att'y Docket No.: 13768.189

Filing Date: April 19, 2001

Group: 2176

Confirmation No.: 1457

For: METHODS AND SYSTEMS FOR AUTHENTICATION THROUGH  
MULTIPLE PROXY SERVERS THAT REQUIRE DIFFERENT  
AUTHENTICATION DATA



the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

WA13768\189\DMS0000000087V001.doc

**RECEIVED**

AUG 14 2002

Technology Center 2100

Examiner:

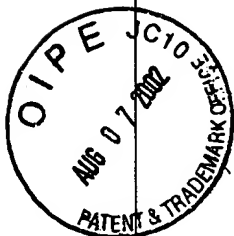
Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			Docket No. 13768.189	
Applicant(s): Donald J. Kadyk, et al.				
Serial No. 09/838,408	Filing Date April 19, 2001	Confirmation No. 1457	Examiner unassigned	Group Art Unit 2176

Invention: METHODS AND SYSTEMS FOR AUTHENTICATION THROUGH MULTIPLE PROXY SERVERS THAT REQUIRE DIFFERENT AUTHENTICATION DATA

I hereby certify that the Transmittal letter (2 pages) (in triplicate); Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (2 pgs); Legible Copies of one (1) Cited Reference; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, DC 20231 on AUGUST 7, 2002.



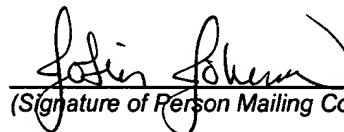
**RECEIVED**

AUG 14 2002

Technology Center 2100

JOLIN JOHNSON

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EL 675 130 765 US

("Express Mail" Mailing Label Number)